

032404

11696 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006, OMB 0651-0032




U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	4133-040082(P-5532/3)
	First Inventor	Jon D. Swenson
	Title	"Medical Needle Assemblies"
	Express Mail Label No.	EL738801075US

22581 U.S. PTO
10/807845

032404

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																																													
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>18</u>] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>8</u>]</p> <p>5. Oath or Declaration [Total Pages <u>6</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (copy from parent)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [] Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure [] Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>																																													
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 10 /141,537</p> <p>Prior application information: Examiner <u>Cris L. Rodriguez</u> Art Unit: <u>3763</u></p> <p>For CONTINUATION or DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																																															
<p>19. CORRESPONDENCE ADDRESS</p> <p><input checked="" type="checkbox"/> Customer Number: <u>32182</u> OR <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)</p> <table border="1"><tr><td>Name</td><td colspan="5">Kirk M. Miles, Esq.</td></tr><tr><td></td><td colspan="5">Webb Ziesenheim Logsdon Orkin & Hanson, P.C.</td></tr><tr><td>Address</td><td colspan="5">700 Koppers Building</td></tr><tr><td></td><td colspan="5">436 Seventh Avenue</td></tr><tr><td>City</td><td>Pittsburgh</td><td>State</td><td>PA</td><td>Zip Code</td><td>15219</td></tr><tr><td>Country</td><td>United States</td><td>Telephone</td><td>412-471-8815</td><td>Fax</td><td>412-471-4094</td></tr></table> <table border="1"><tr><td>Name (Print/Type)</td><td>Kirk M. Miles</td><td>Registration No. (Attorney/Agent)</td><td>37,891</td></tr><tr><td>Signature</td><td></td><td>Date</td><td>March 24, 2004</td></tr></table>				Name	Kirk M. Miles, Esq.						Webb Ziesenheim Logsdon Orkin & Hanson, P.C.					Address	700 Koppers Building						436 Seventh Avenue					City	Pittsburgh	State	PA	Zip Code	15219	Country	United States	Telephone	412-471-8815	Fax	412-471-4094	Name (Print/Type)	Kirk M. Miles	Registration No. (Attorney/Agent)	37,891	Signature		Date	March 24, 2004
Name	Kirk M. Miles, Esq.																																														
	Webb Ziesenheim Logsdon Orkin & Hanson, P.C.																																														
Address	700 Koppers Building																																														
	436 Seventh Avenue																																														
City	Pittsburgh	State	PA	Zip Code	15219																																										
Country	United States	Telephone	412-471-8815	Fax	412-471-4094																																										
Name (Print/Type)	Kirk M. Miles	Registration No. (Attorney/Agent)	37,891																																												
Signature		Date	March 24, 2004																																												

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

JON D. SWENSON AND RICHARD J. CAIZZA

4133-040082 (P-5532/3)

ENTITLED

"MEDICAL NEEDLE ASSEMBLIES"

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number **EL738801075US**

Date of Deposit **March 24, 2004**

I hereby certify that the following attached paper or fee

**SPECIFICATION (10 pp.);
CLAIMS (29 claims, 7 pp.);
ABSTRACT (1 p.);
DRAWINGS (8 sheets);
DECLARATION AND POWER OF ATTORNEY (6 pp., copy);
ASSIGNMENT (6 pp., copy);
PATENT APPLICATION FEE TRANSMITTAL (1 p. in trip.);
UTILITY PATENT APPLICATION TRANSMITTAL (1 p.);
INFORMATION DISCLOSURE STATEMENT (2 pp.);
PTO/SB/08A (1 p.);
POSTCARD; AND
CHECK IN THE AMOUNT OF \$1102.00**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Michelle Pichora

(Typed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

PATENT APPLICATION TRANSMITTAL LETTER

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of: Jon D. Swenson and Richard J. Caizza

Entitled: "Medical Needle Assemblies"

Small Entity Status:

☐ Small Entity Statement under 37 CFR 1.27 is enclosed
☐ Small Entity Status is asserted for this application under 37 CFR 1.27

Enclosed are: ☒ 8 sheets of drawings
☒ An Assignment of the invention to: Becton, Dickinson and Company
☒ Declaration (copy)
☐ Non-Publication Request Under 37 C.F.R. §1.213

CLAIMS AS FILED

	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity Rate</u>	<u>Non-Small Entity Rate</u>	<u>Charge</u>
Total					
Claims	<u>29</u>	<u>-20 = 9</u>	x \$ 9.00	x \$ 18.00	\$ <u>162.00</u>
Indep. Claims	<u>5</u>	<u>-3 = 2</u>	x \$ 43.00	x \$ 86.00	\$ <u>172.00</u>
Multiple Dependent Claim/s			+ \$145.00	+ \$290.00	\$ <u>0.00</u>
Basic Fee			+ \$385.00	+ \$770.00	\$ <u>770.00</u>
			Total of above Charges		\$ <u>1104.00</u>
			Total Fee		\$ <u>1104.00</u>

A check in the amount of \$1104.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

March 24, 2004
Date

By 

Kirk M. Miles
Registration No. 37,891
Attorney for Applicants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818
Telephone: (412) 471-8815
Facsimile: (412) 471-4094
E-mail: webblaw@webblaw.com

The PTO did not receive the following listed item(s) a check \$1104
receive a check \$1102